

06/28/01

76-29-01

14

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09792909-5049

First Named Inventor or Application Identifier

Masataka Shinoda

Express Mail Label No: EL281568850US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

A standard linear barcode is positioned vertically on the right side of the page. It is used to identify the specific issue of the journal.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

- | | | |
|---|---|---|
| 1. <input checked="" type="checkbox"/> Specification | Total Pages <u>107</u> | 5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) |
| 2. <input checked="" type="checkbox"/> Drawing(s) (35USC 113) | Total Pages <u>39</u> | 6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c). |
| 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney | Total Pages <u>2</u> | 7. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) |
| a. <input checked="" type="checkbox"/> Unexecuted(original or copy) | | 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 |
| b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d))
<i>(for continuation/divisional with Box 14 completed)</i> | | <input type="checkbox"/> Copies of IDS Citations |
| <i>[Note Box 4 Below]</i> | | |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> | Signed statement attached deleting Inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b). | |
| 4. <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) | The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | |
| 9. <input checked="" type="checkbox"/> Preliminary Amendment | | |
| 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>(Should be specifically itemized)</i> | | |
| 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Statement(s) Status still proper and desired | | |
| 12. <input checked="" type="checkbox"/> Copy of Priority Japanese Patent Application No: P2000-197380, filed June 29, 2000. | | |

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
	TOTAL CLAIMS 20	180	160	\$18	\$2880
	INDEPENDENT CLAIMS 03	6	3	\$80	\$1,360
	ANY MULTIPLE DEPENDENT CLAIMS?				\$270
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			TOTAL FEE	\$5,220

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$5,220 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger
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Washington, D.C.

June 28, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

Re: Applicant: Masataka Shinoda
Title: "RECORDING MEDIUM, RECORDING AND REPRODUCING
METHOD AND RECORDING AND REPRODUCING APPARATUS"
Your Ref. No: S01P0964US00
Our Ref. No: 09792909-5049

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 180 claims (20 independent), 39 sheets of drawings (Figs. 1-47), unexecuted Declaration, Priority Japanese Patent Application and the filing fee of \$5,220 on behalf of

MASATAKA SHINODA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHEIN NATH & ROSENTHAL


David R. Metzger

Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Masataka Shinoda

DOCKET NO. 09792909-5049

SERIAL NO.

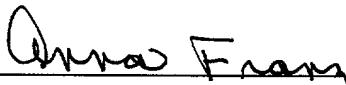
DATE FILED:

INVENTION:

"RECORDING MEDIUM, RECORDING AND REPRODUCING
METHOD AND RECORDING AND REPRODUCING APPARATUS"**EXPRESS MAIL CERTIFICATE OF MAILING**Express Mail" Mailing Label Number EL281568850USDate of Deposit June 28, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patent, Washington, D.C. 20231:

1. Utility Patent Application Transmittal
2. Filing Fee \$5220
3. Specification (107 Pages)
4. Drawings (39 Sheets)
5. Copy of Priority Japanese Patent Application No: P2000-197380
6. Declaration and Power of Attorney (Unexecuted)
7. Preliminary Amendment
8. Postcard
9. Express Certificate of Mailing No: EL281568850US



Signature of Person Mailing Application